

**DP Tariff**

To, **Patel Wealth Advisors Pvt. Ltd. "Oxygen", M/2/3, Gaurav Park, Opp: Pradhyuman Royal Heights, Near Neel Da Dhaba, Off. Kalawad Road, Rajkot – 360005**

SR No.	Particulars	Plan - P	Plan - Q	Plan - R	Plan - S (BSDA)
1.	Annual Maintenance Charges	NIL	NIL	Rs. 365/-	NIL - For-Debt Securities (Value of Holdings Up to Rs. 1,00,000 - Rs.100/- for 1,01,000 to 2,00,000 -
2.	One Time Non Refundable	NIL	Rs. 950/-	NIL	NIL
3.	Advanced Deposit Refundable	Rs. 2999/-	NIL	NIL	NIL
4.	Off Market Trade Transfer within PWAPL	Rs. 30/-	Rs. 30/-	Rs. 45/-	Rs. 100/-
5.	On/Off Market Trade Transfer outside PWAPL	Rs. 100/ISIN	Rs. 100/ISIN	Rs. 100/ISIN	Rs. 100/ISIN
6.	On Market Early/Auto Pay-in either from PWAPL's any A/c or Client's Beneficiary account with PWAPL	Rs. 18/-	Rs. 18/-	Rs. 21/-	Rs. 100/-
7.	Dematerialization or Rematerialization Charges	Rs. 50/Cert	Rs. 50/Cert	Rs. 50/Cert	Rs. 50/Cert
8.	Postage per Demat Request	Rs. 100/-	Rs. 100/-	Rs. 100/-	Rs. 100/-
9.	Pledge Mark/Unmark	Rs. 20/ISIN	Rs. 20/ISIN	Rs. 20/ISIN	Rs. 20/ISIN
10.	KRA Charges	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-
11.	DIS Issuance	Rs. 25/-	Rs. 25/-	Rs. 25/-	Rs. 100/-
12.	Statement Charges	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-
13.	Demat Profile Modification	Rs. 100/-	Rs. 100/-	Rs. 100/-	Rs. 100/-
14.	POA Stamp Franking Charges	As per actual	As per actual	As per actual	As per actual
16.	CMR Request (First CMR copy is free)	Rs. 25/-	Rs. 25/-	Rs. 25/-	Rs. 25/-
17.	Urgent Transaction Charges	Rs. 100/-	Rs. 100/-	Rs. 100/-	Rs. 100/-
18.	Demat Plan Change Processing Fees	Rs. 100/-	Rs. 100/-	Rs. 100/-	Rs. 100/-

• In case of refundable Plan -P, if client closes demat account before 365 days then the refundable amount will be reduced by Rs. 999/- & after 365 days anytime client closes the account refundable amount will be reduced by Rs. 499/-. • All charges levied & debited for demat accounts shall be transferred to Trading account ledger. • Patel Wealth Advisors Pvt. Ltd. reserves the right to modify/cancel/revise any of the charges/services or terms and conditions at its sole discretion mentioned herein above by publishing/displaying the same on its back office interface/website and/or by conveying same on registered mobile or email id of clients by giving 30 days advanced intimation prior to implement. • Interest @ 18% per annum shall be charged on the outstanding amount. • In case of CAS or any other charges debited by NSDL to Patel Wealth Advisors Pvt. Ltd., the same will be transferred to the demat ledger of account holder. • Rs. 200/- will be charged to incase of DIS book requested without requisition slip. • Patel Wealth Advisors Pvt. Ltd. reserves the right to freeze demat account transactions carried out/initiated/requested by client in case of nonpayment of outstanding demat dues. • For demat scheme Plan - R, AMC once paid is 100% Non refundable. • Plan - Q is valid only if the client opts to receive transaction statements, bills and all other communications by email. If the email of the client gets bounced, the AMC of Rs. 365 plus applicable taxes will be charged extra. • For Plan S, if holding value for an account exceeds more than Rs. 2lakh at any time, Patel Wealth Advisors Pvt. Ltd. may levy charges as applicable to Plan L. • In case of physical statement delivery, courier cost of Rs. 50 plus will be debited per instance. • GST will be added on all above mentioned plan charges as per regulatory norms applicable from time to time. • Call-and-Trade charges of Rs. 25/Successfully placed buy/sell orders, executed orders, rejected orders, cancelled orders will be applied with upper limit of maximum Rs. 1000/day irrespective of any number of orders.

I/We agree to the following terms and conditions in this regard.

a) I/We are aware that I/We will not receive the transaction/holding/ledger statements in paper form. b) I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account. c) I/We are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. d) In case transaction statements are sent by email, I/We shall immediately inform you about changes in email, if any. e) I/We, the client and you PWAPL; both shall have the right to terminate such service provided a written notice is given at least 10 days in advance to the other party. f) In case, if my email account is closed/full due to any reason and new email details are not updated/submitted, Patel Wealth Advisors Pvt. Ltd. may charge Rs. 100/- extra for sending the transaction statement in paper form or Rs. 365/- p.a. as AMC, as the case may be.

PLAN ADOPTED     P     Q     R     S    (Please right make the appropriate box)

1 <sup>st</sup> Holder Name	2 <sup>nd</sup> Holder Name	3 <sup>rd</sup> Holder Name
1 <sup>st</sup> Holder Signature	2 <sup>nd</sup> Holder Signature	3 <sup>rd</sup> Holder Signature

**Declaration for Common Mobile Number & E-Mail ID**

DP ID	IN304131	Client ID	Date
1 <sup>st</sup> Holder Signature	Name :		
	Mobile :	<input type="checkbox"/> Me <input type="checkbox"/> My Family	
	E-mail ID :	<input type="checkbox"/> Me <input type="checkbox"/> My Family	
2 <sup>nd</sup> Holder Signature	Name :		
	Mobile :	<input type="checkbox"/> Me <input type="checkbox"/> My Family	
	E-mail ID :	<input type="checkbox"/> Me <input type="checkbox"/> My Family	
3 <sup>rd</sup> Holder Signature	Name :		
	Mobile :	<input type="checkbox"/> Me <input type="checkbox"/> My Family	
	E-mail ID :	<input type="checkbox"/> Me <input type="checkbox"/> My Family	

**20** I/We, hereby declare that the aforesaid mobile number or email id belongs to me or my family (Spouse, dependent children and dependent parents)